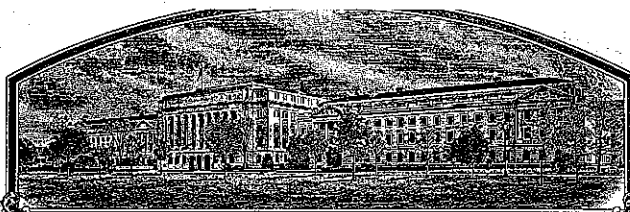


No.

9800124



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Kansas Agricultural Experiment Station

Whereas, THERE HAS BEEN PRESENTED TO THE

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED DISTINCT VARIETY OF SEXUALLY REPRODUCED, OR TUBER PROPAGATED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF TWENTY YEARS FROM THE DATE OF THIS GRANT, SUBJECT TO THE PAYMENT OF THE REQUIRED FEES AND PERIODIC REPLENISHMENT OF VIABLE BASIC SEED OF THE VARIETY IN A PUBLIC REPOSITORY AS PROVIDED BY LAW, THE RIGHT TO EXCLUDE OTHERS FROM SELLING THE VARIETY, OR OFFERING IT FOR SALE, OR REPRODUCING IT, OR IMPORTING IT, OR EXPORTING IT, OR CONDITIONING IT FOR PROPAGATION, OR STOCKING IT FOR ANY OF THE ABOVE PURPOSE, OR USING IT IN PRODUCING A HYBRID OR DIFFERENT VARIETY THEREFROM, TO THE EXTENT PROVIDED BY THE PLANT VARIETY PROTECTION ACT. IN THE UNITED STATES SEED OF THIS VARIETY (1) SHALL BE SOLD BY VARIETY NAME ONLY AS A CERTIFIED SEED AND (2) SHALL CONFORM TO THE NUMBER OF GENERATIONS SPECIFIED BY THE OWNER OF THE VARIETY. (U.S. STAT. 1542, AS AMENDED, 7 U.S.C. 2321 ET SEQ.)

SOYBEAN

'KS4997'

In Testimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington, D.C. this second day of May, in the year two thousand two.

Attest:



Paul M. Jankel

Commissioner
Plant Variety Protection Office
Agricultural Marketing Service

Curran

Secretary

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE
SCIENCE AND TECHNOLOGY DIVISION - PLANT VARIETY PROTECTION OFFICE

APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE
(Instructions and information collection burden statement on reverse)

The following statements are made in accordance with the Privacy Act of 1974 (5 U.S.C. 552a) and the Paperwork Reduction Act (PRA) of 1995.

Application is required in order to determine if a plant variety protection certificate is to be issued (7 U.S.C. 2421). Information is held confidential until certificate is issued (7 U.S.C. 2426).

| | | | |
|--|---|--|---|
| 1. NAME OF APPLICANT(S) (as it is to appear on the Certificate) Kansas Agricultural Experiment Station | | 2. TEMPORARY DESIGNATION OR EXPERIMENTAL NUMBER K1218 | 3. VARIETY NAME KS4997 |
| 4. ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP Code, and Country) Waters Hall Kansas State University Manhattan, KS 66506 | | 5. TELEPHONE (include area code) 785-532-6147 | FOR OFFICIAL USE ONLY PVPO NUMBER 9800124 |
| | | 6. FAX (include area code) 785-532-6563 | |
| 7. GENUS AND SPECIES NAME Glycine | 8. FAMILY NAME (Botanical) Leguminosae | | FILING DATE 2/24/1998 FILING AND EXAMINATION FEE: \$ 2450.00 DATE 2/24/1998 CERTIFICATION FEE: \$ 320.00 DATE 3/8/02 |
| 9. CROP KIND NAME (Common name) Soybean | | | |
| 10. IF THE APPLICANT NAMED IS NOT A "PERSON", GIVE FORM OF ORGANIZATION (corporation, partnership, association, etc.) (Common name) University | | | |
| 11. IF INCORPORATED, GIVE STATE OF INCORPORATION | 12. DATE OF INCORPORATION | | |
| 13. NAME AND ADDRESS OF APPLICANT REPRESENTATIVE(S), IF ANY, TO SERVE IN THIS APPLICATION AND RECEIVE ALL PAPERS Vernon A. Schaffer, Agronomy Dept. Kansas State University, 2004 Throckmorton Hall Manhattan, KS 66506-5501 | | | 14. TELEPHONE (include area code) 785-532-6115 |
| | | | 15. FAX (include area code) 785-532-6094 |
| 16. CHECK APPROPRIATE BOX FOR EACH ATTACHMENT SUBMITTED (Follow instructions on reverse) | | | |
| a. <input checked="" type="checkbox"/> Exhibit A. Origin and Breeding History of the Variety b. <input checked="" type="checkbox"/> Exhibit B. Statement of Distinctness c. <input checked="" type="checkbox"/> Exhibit C. Objective Description of the Variety d. <input checked="" type="checkbox"/> Exhibit D. Additional Description of the Variety (Optional) e. <input checked="" type="checkbox"/> Exhibit E. Statement of the Basis of the Applicant's Ownership f. <input checked="" type="checkbox"/> Voucher Sample (2,500 viable untreated seeds or, for tuber propagated varieties verification that tissue culture will be deposited and maintained in an approved public repository) g. <input checked="" type="checkbox"/> Filing and Examination Fee (\$2,450), made payable to "Treasurer of the United States" (Mail to PVPO) | | | |
| 17. DOES THE APPLICANT SPECIFY THAT SEED OF THIS VARIETY BE SOLD BY VARIETY NAME ONLY, AS A CLASS OF CERTIFIED SEED? (See Section 83(a) of the Plant Variety Protection Act) <input checked="" type="checkbox"/> YES #1 "yes," answer items 18 and 19 below <input type="checkbox"/> NO #1 "no," go to item 20) | | | |
| 18. DOES THE APPLICANT SPECIFY THAT SEED OF THIS VARIETY BE LIMITED AS TO NUMBER OF GENERATIONS? <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO | | 19. IF "YES" TO ITEM 18, WHICH CLASSES OF PRODUCTION BEYOND BREEDER SEED? <input checked="" type="checkbox"/> FOUNDATION <input checked="" type="checkbox"/> REGISTERED <input checked="" type="checkbox"/> CERTIFIED | |
| 20. HAS THE VARIETY OR A HYBRID PRODUCED FROM THE VARIETY BEEN RELEASED, USED, OFFERED FOR SALE, OR MARKETING IN THE U.S. OR OTHER COUNTRIES? <input checked="" type="checkbox"/> YES #1 "yes," give names of countries and dates <input type="checkbox"/> NO USA, Spring 1998 | | | |
| 21. The applicant(s) declare that a viable sample of basic seed of the variety will be furnished with application and will be replenished upon request in accordance with such regulations as may be applicable, or for a tuber propagated variety a tissue culture will be deposited in a public repository and maintained for the duration of the certificate. The undersigned applicant(s) is(are) the owner(s) of this sexually reproduced or tuber propagated plant variety, and believe(s) that the variety is new, distinct, uniform, and stable as required in Section 42, and is entitled to protection under the provisions of Section 42 of the Plant Variety Protection Act. Applicant(s) is(are) informed that false representation herein can jeopardize protection and result in penalties. | | | |
| SIGNATURE OF APPLICANT (Owner(s)) George E. Ham | | SIGNATURE OF APPLICANT (Owner(s)) | |
| NAME (Please print or type) George E. Ham | | NAME (Please print or type) | |
| CAPACITY OR TITLE Assoc. Director of KS Agric. Expt. Station | DATE | CAPACITY OR TITLE | DATE |

KS4997 Plant Variety Protection Application
Exhibit A. Origin and Breeding History

KS4997 is a selection from the cross Pioneer 5482 by Asgrow A3127. The original cross was made in 1982. The F_1 and F_3 generations were grown in a winter nursery. F_2 and F_3 generations were advanced by modified single seed descent. Single plant selections were made in 1984 in the F_4 generation. In the F_{12} generation, 60 rows from F_{11} single plant selections were bulked to form breeders seed.

KS 4997

Addition to Exhibit A. Origin and Breeding History

KS4997 is uniform and stable. When sexually reproduced, the variety remains unchanged in its essential and distinctive characteristic. KS4997 was observed to be uniform and stable during the two generations prior to release. Both the variety and the variants are commercially acceptable.

KS4997 Plant Variety Protection Application
Exhibit B. Novelty Statement

KS4997 is similar in appearance to Pioneer 5482. It is similar to Pioneer 5482 in having a determinate growth habit, tawny pubescence, black hila and white flowers. KS4997 has tan pods and is a late-group IV variety, while Pioneer 5482 has brown pods and is a group V variety.

U.S. DEPARTMENT OF AGRICULTURE
AGRICULTURAL MARKETING SERVICE

EXHIBIT C
(Soybean)

PLANT VARIETY PROTECTION OFFICE
BELTSVILLE, MARYLAND 20705

OBJECTIVE DESCRIPTION OF VARIETY
SOYBEAN (*Glycine max* L.)

| | | |
|---|--------------------------------|--|
| NAME OF APPLICANT(S) Kansas Agric. Experiment Station | TEMPORARY DESIGNATION K1218 | VARIETY NAME KS4997 |
| ADDRESS (Street and No., or R.F.D. No., City, State, and Zip Code) Waters Hall Kansas State University Manhattan, KS 66506 | | FOR OFFICIAL USE ONLY PVPO NUMBER 9800424 |

Choose the appropriate response which characterizes the variety in the features described below. When the number of significant digits in your answer is fewer than the number of boxes provided, place a zero in the first box when number is 9 or less (e.g.,). Starred characters ★ are considered fundamental to an adequate soybean variety description. Other characters should be described when information is available.

1. SEED SHAPE:



1 = Spherical (L/W, L/T, and T/W ratios = < 1.2)
3 = Elongate (L/T ratio > 1.2; T/W = < 1.2)

2 = Spherical Flattened (L/W ratio > 1.2; L/T ratio = < 1.2)
4 = Elongate Flattened (L/T ratio > 1.2; T/W > 1.2)

★ 2. SEED COAT COLOR: (Mature Seed)

1 = Yellow 2 = Green 3 = Brown 4 = Black 5 = Other (Specify) _____

3. SEED COAT LUSTER: (Mature Hand Shelled Seed)

1 = Dull ('Corsoy 79'; 'Braxton') 2 = Shiny ('Nebsoy'; 'Gasoy 17')

★ 4. SEED SIZE: (Mature Seed)

Grams per 100 seeds

★ 5. HILUM COLOR: (Mature Seed)

1 = Buff 2 = Yellow 3 = Brown 4 = Gray 5 = Imperfect Black 6 = Black 7 = Other (Specify) _____

★ 6. COTYLEDON COLOR: (Mature Seed)

1 = Yellow 2 = Green

★ 7. SEED PROTEIN PEROXIDASE ACTIVITY:

1 = Low 2 = High

★ 8. SEED PROTEIN ELECTROPHORETIC BAND:

1 = Type A (SP1^a) 2 = Type B (SP1^b)

★ 9. HYPOCOTYL COLOR:

1 = Green only ('Evans'; 'Davis') 2 = Green with bronze band below cotyledons ('Woodworth'; 'Tracy')
3 = Light Purple below cotyledons ('Beeson'; 'Pickett 71')
4 = Dark Purple extending to unifoliate leaves ('Hodgson'; 'Coker Hampton 266A')

★ 10. LEAFLET SHAPE:

1 = Lanceolate 2 = Oval 3 = Ovate 4 = Other (Specify) _____

11. LEAFLET SIZE:

☐ 2

1 = Small ('Amsoy 71'; 'A5312')

3 = Large ('Crawford'; 'Tracy')

2 = Medium ('Corsoy 79'; 'Gasoy 17')

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12. LEAF COLOR:

☐

1 = Light Green ('Weber'; 'York')

3 = Dark Green ('Gnome'; 'Tracy')

2 = Medium Green ('Corsoy 79'; 'Braxton')

★ 13. FLOWER COLOR:

☐ 1

1 = White

2 = Purple

3 = White with purple throat

★ 14. POD COLOR:

☐ 1

1 = Tan

2 = Brown

3 = Black

★ 15. PLANT PUBESCENCE COLOR:

☐ 2

1 = Gray

2 = Brown (Tawny)

16. PLANT TYPES:

☐ 1

1 = Slender ('Essex'; 'Amsoy 71')

2 = Intermediate ('Amcor'; 'Braxton')

3 = Bushy ('Gnome'; 'Govan')

★ 17. PLANT HABIT:

☐ 1

1 = Determinate ('Gnome'; 'Braxton')

2 = Semi-Determinate ('Will')

3 = Indeterminate ('Nebsoy'; 'Improved Pelican')

18. MATURITY GROUP:

☐ 0 ☐ 7

1 = 000

2 = 00

3 = 0

4 = I

5 = II

6 = III

7 = IV

8 = V

9 = VI

10 = VII

11 = VIII

12 = IX

13 = X

19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

BACTERIAL DISEASES:

★

☐ 0Bacterial Pustule (*Xanthomonas phaseoli* var. *sojensis*)

★

☐ 0Bacterial Blight (*Pseudomonas glycines*)

★

☐ 0Wildfire (*Pseudomonas tabaci*)

FUNGAL DISEASES:

★

☐ 0Brown Spot (*Septoria glycines*)Frogeye Leaf Spot (*Cercospora sojina*)

★

☐ 0

Race 1

☐ 0

Race 2

☐ 0

Race 3

☐ 0

Race 4

☐ 0

Race 5

☐ 0

Other (Specify)

☐ 0Target Spot (*Corynespora cassicola*)☐ 0Downy Mildew (*Peronospora trifoliorum* var. *manshurica*)☐ 0Powdery Mildew (*Microsphaera diffusa*)

★

☐ 0Brown Stem Rot (*Cephalosporium gregatum*)☐ 2Stem Canker (*Diaporthe phaseolorum* var. *caulivora*)

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19. DISEASE REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant) (Continued)

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FUNGAL DISEASES: (Continued)

- ★ ☐ 0 Pod and Stem Blight (*Diaporthe phaseolorum* var. *sojae*)
- ☐ 0 Purple Seed Stain (*Cercospora kikuchii*)
- ☐ 0 Rhizoctonia Root Rot (*Rhizoctonia solani*)
- Phytophthora Rot (*Phytophthora megasperma* var. *sojae*)
- ★ ☐ 0 Race 1 ☐ 0 Race 2 ☐ 0 Race 3 ☐ 0 Race 4 ☐ 0 Race 5 ☐ 0 Race 6 ☐ 0 Race 7
- ☐ 0 Race 8 ☐ 0 Race 9 ☐ Other (Specify) _____

VIRAL DISEASES:

- ☐ 0 Bud Blight (Tobacco Ringspot Virus)
- ☐ 0 Yellow Mosaic (Bean Yellow Mosaic Virus)
- ★ ☐ 0 Cowpea Mosaic (Cowpea Chlorotic Virus)
- ☐ 0 Pod Mottle (Bean Pod Mottle Virus)
- ★ ☐ 0 Seed Mottle (Soybean Mosaic Virus)

NEMATODE DISEASES:

- Soybean Cyst Nematode (*Heterodera glycines*)
- ★ ☐ 1 Race 1 ☐ 1 Race 2 ☐ 1 Race 3 ☐ 1 Race 4 ☐ Other (Specify) _____
- ☐ 0 Lance Nematode (*Hoplolaimus Colombus*)
- ★ ☐ 1 Southern Root Knot Nematode (*Meloidogyne incognita*)
- ★ ☐ 0 Northern Root Knot Nematode (*Meloidogyne Hapla*)
- ☐ 1 Peanut Root Knot Nematode (*Meloidogyne arenaria*)
- ☐ 0 Reniform Nematode (*Rotylenchulus reniformis*)
- ☐ OTHER DISEASE NOT ON FORM (Specify): _____

20. PHYSIOLOGICAL RESPONSES: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ★ ☐ 1 Iron Chlorosis on Calcareous Soil
- ☐ Other (Specify) _____

21. INSECT REACTION: (Enter 0 = Not Tested; 1 = Susceptible; 2 = Resistant)

- ☐ 0 Mexican Bean Beetle (*Epilachna varivestis*)
- ☐ 0 Potato Leaf Hopper (*Empoasca fabae*)
- ☐ Other (Specify) _____

22. INDICATE WHICH VARIETY MOST CLOSELY RESEMBLES THAT SUBMITTED.

| CHARACTER | NAME OF VARIETY | CHARACTER | NAME OF VARIETY |
|-------------|-----------------|-----------------------|-----------------|
| Plant Shape | Pioneer 5482 | Seed Coat Luster | Pioneer 5482 |
| Leaf Shape | Pioneer 5482 | Seed Size | Pioneer 5482 |
| Leaf Color | Pioneer 5482 | Seed Shape | Pioneer 5482 |
| Leaf Size | Pioneer 5482 | Seedling Pigmentation | Pioneer 5482 |

23. GIVE DATA FOR SUBMITTED AND SIMILAR STANDARD VARIETY: Paired Comparison Data

| VARIETY | NO. OF DAYS MATURITY | PLANT LODGING SCORE | CM PLANT HEIGHT | LEAFLET SIZE | | SEED CONTENT | | SEED SIZE G/100 SEEDS | NO. SEEDS/POD |
|-------------------------|----------------------|---------------------|-----------------|--------------|-----------|--------------|-------|-----------------------|---------------|
| | | | | CM Width | CM Length | % Protein | % Oil | | |
| Submitted | 129 | 1.1 | 69 | 6.9 | 10.8 | 33.8 | 17.7 | 11.1 | 2.4 |
| Name of Similar Variety | 134 | 1.4 | 76 | 7.7 | 11.6 | 33.7 | 17.9 | 10.8 | 2.5 |

PUBLICATIONS USEFUL AS REFERENCE AIDS FOR COMPLETING THIS FORM:

1. Caldwell, B.E., ed. 1973. Soybeans: Improvement, Production, and Uses. Amer. Soc. Agron. Monograph No. 16.
2. Buttery, B.R. and R.I. Buzzell. 1968. Peroxidase activity in seeds of soybean varieties. Crop Sci., 8: 722-725.
3. Hymowitz, T. 1973. Electrophoretic analysis of SBTI-A₂ in the USDA soybean germplasm collection. Crop Sci., 13: 420-421.
4. Payne, R.C. and L.F. Morris. 1976. Differentiation of soybean cultivars by seedling pigmentation patterns. J. Seed Technol. 1: 1-19.

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KS4997 Plant Variety Protection Application
Exhibit D. Additional Description of Variety

KS4997 is a selection from the cross Pioneer 5482 by Asgrow A3127, developed by the Kansas Agricultural Experiment Station (KAES). KS4997 is similar in appearance to Pioneer 5482, but is earlier in maturity. KS4997 is determinate in growth habit, has white flowers, tawny pubescence and tan pods. Seed have yellow cotyledons and dull yellow seed coats with black color hila. Variants are limited to plants with brown pubescence at a frequency of less than 1 in 1,000. Pod color can vary from tan to brown. Hilum is black, but can vary from light to dark black. Seed have high seed protein peroxidase activity. Breeders seed will be maintained by the KAES.

KS4997 Plant Variety Protection Application
Exhibit E. Statement of the Basis of Applicant Ownership

The variety for which Plant Variety Protection is hereby sought was developed by Dr. W.T. Schapaugh, Jr. an employee of Kansas State University Experiment Station. By agreement between the employee and Kansas State University Experiment Station, all rights to any invention, discovery, or development made by the employee while employed by Kansas State University Experiment Station, were assigned by Kansas State University Experiment Station with no rights of any kind retained by the employee.